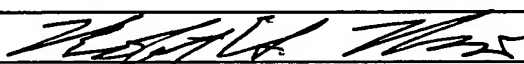


DT07 Rec'd PCT/PTO 1 0 JAN 2005

PTO-1390 (12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|---|--|---------------------------------------|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NO. 011382.00001 |
| | | 10/520909 |
| INTERNATIONAL APPLICATION NO. PCT/CH2003/000453 | INTERNATIONAL FILING DATE 8 July 2003 | PRIORITY DATE CLAIMED 10 July 2002 |
| TITLE OF INVENTION Delivery System For Pharmaceutical | | |
| APPLICANT(S) FOR DO/EO/US Denis Bron | | |
| Applicant herewith submits to the United State Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must included items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11-20 below concern other document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input type="checkbox"/> An Assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A unexecuted power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: International Search Report PTO Form PTO/SB/O8A 2 References | | |

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| | | | | | |
|--|-----------------------|--|------------|---------------------------------------|----------|
| U.S. APPLICATION NO. (If known, See 37 CFR 1.51) 10/520909 | | INTERNATIONAL APPLICATION NO. PCT/CH2003/000453 | | ATTORNEY'S DOCKET NO. 011382.00001 | |
| 21. The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> | a) Basic National Fee | | \$300.00 | 300.00 | |
| <input checked="" type="checkbox"/> | b) Examination Fee | | \$200.00 | 200.00 | |
| <input checked="" type="checkbox"/> | c) Search Fee | | \$500.00 | 500.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | \$1,000.00 | 1,000.00 | 1,000.00 |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 500 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | Rate | |
| - 100 | 0 /50 | | | X \$250 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 28 -20 = | 8 | x \$50.00 | | |
| Independent Claims | 2 - 3 = | 0 | x \$200.00 | | |
| Multiple dependent claims (if applicable) | | 6 | + \$360.00 | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | 2,510.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated below above are reduced by 1/2. | | | | 1,255.00 | |
| SUBTOTAL = | | | | 1,255.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | |
| TOTAL NATIONAL FEE = | | | | 1,255.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. + | | | | | |
| TOTAL FEES ENCLOSED = | | | | 1,255.00 | |
| | | | | Amount to be: | |
| | | | | refunded | |
| | | | | charged | 1,255.00 |
| a. <input type="checkbox"/> A check in the amount of \$____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 19-0733 in the amount of <u>\$1,255.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | |  | | | |
| CUSTOMER NO.: 22908 | | SIGNATURE | | | |
| | | Robert H. Resis 32,168 | | | |
| | | NAME REG. NO. | | | |
| | | January 10, 2005 | | | |
| | | DATE | | | |

TRANSMITTAL LETTER
TO THE DO/EO/US

| | |
|-------------------------------|------------------|
| Date | January 10, 2005 |
| International Application No. | New 10/520 909 |
| Attorney Docket No. | 011382.00001 |

I. ☒ New Patent Application

| | | |
|-------|---|--|
| TITLE | Delivery System for Pharmaceutical Agents | Earliest priority date (Day/Month/Year) |
| | | 10 July 2002 |

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.
 B. ☐ There is no prior U.S. application relating to this invention.
 C. ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: *priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority*)

| | | | |
|-----------------|--|----------|--|
| application no. | | filed on | |
|-----------------|--|----------|--|

- D. ☐ The present international application ☐ is identical to ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C above.
 E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter ☐ **DOES NOT ALTER** ☐ **MIGHT BE CONSIDERED TO ALTER** the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

II. ☐ A Response to the Invitation to Correct Defects from the RO/US. The following document(s) ~~is~~ (are) enclosed:

- A. ☐
 B. ☐ PCT Power of Attorney (General)
 C. ☐ Replacement pages:


| | | | | | |
|-------|--|-----------------------------|-------|--|-----------------|
| pages | | of the request (PCT/RO/101) | pages | | of the figures |
| pages | | of the description | pages | | of the abstract |
| pages | | of the claims | | | |

- D. ☐ Submission of Priority Document
 E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

III. ☐ A Request for Rectification under PCT Rule 91 ☐ A Petition ☐ A Sequence Listing DisketteIV. ☒ Other (please identify):

Transmittal Letter to DO/EO/US Concerning a Filing under 35 USC 371
 Application Data Sheet
 Unexecuted Sole Declaration/ Power of Attorney
 International Publication 2004/006891, w/ ISR
 Information Disclosure Statement, w/ 2 references
 PTO Form PTO/SB/08A
 Preliminary Amendment
 Certificate of Mailing
 Return Postcard

The person signing this form is the: ☐ Applicant ☒ Attorney/Agent (Reg. No. 32,168) ☐ Common Representative

| | | | |
|----------------------|-----------------|-----------|--|
| Typed name of signer | ROBERT H. RESIS | Signature |  |
|----------------------|-----------------|-----------|--|

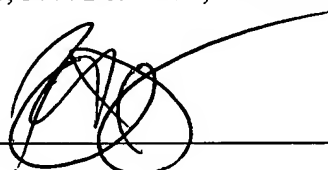
CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail No. EL 995823358 US

Date of Deposit: January 10, 2005

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office Address" under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner of Patents, Mail Stop PCT - DO/EO/US, P.O. Box 1450, Alexandria, VA 22313-1450.

By: _____



In the Application of:

Denis Bron.

Title:

Delivery System For Pharmaceutical Agents

Serial No.:

TBD

Attorney Docket No.

011382.00001

- Transmittal Letter to the US Designated/Elected (DO/EO/US)
- PTO Form 1382
- Application Data Sheet
- Preliminary Amendment
- Information Disclosure Statement w/ 2 references
- PTO Form SB/08A
- Published PCT Application : PCT/CH2003/000453 w/ ISR
- Non-Executed Power of Attorney and Oath Declaration
- Certificate of Mailing
- Return Receipt Postcard